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                 The CA Lexicon available in the CAPLUS and CA files
NEWS
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         Feb 06
                 Engineering Information Encompass files have new names
NEWS
         Feb 16
                 TOXLINE no longer being updated
         Apr 23
                 Search Derwent WPINDEX by chemical structure
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                 PRE-1967 REFERENCES NOW SEARCHABLE IN CAPLUS AND CA
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         May 07
                 DGENE Reload
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NEWS
     8
         Jun 20
                 Published patent applications (A1) are now in USPATFULL
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         JUL 13
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        Aug 23
                 In-process records and more frequent updates now in
                 MEDLINE
                 PAGE IMAGES FOR 1947-1966 RECORDS IN CAPLUS AND CA
NEWS 11
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         Aug 23
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                 IMSworld Pharmaceutical Company Directory name change
NEWS 13
         Sep 17
                 to PHARMASEARCH
                 Korean abstracts now included in Derwent World Patents
NEWS 14 Oct 09
                 Index
                 Number of Derwent World Patents Index updates increased
NEWS 15 Oct 09
                 Calculated properties now in the REGISTRY/ZREGISTRY File
NEWS 16 Oct 15
                 Over 1 million reactions added to CASREACT
NEWS 17
         Oct 22
NEWS 18
        Oct 22
                 DGENE GETSIM has been improved
NEWS 19
        Oct 29
                AAASD no longer available
NEWS EXPRESS August 15 CURRENT WINDOWS VERSION IS V6.0c,
              CURRENT MACINTOSH VERSION IS V6.0 (ENG) AND V6.0J (JP),
              AND CURRENT DISCOVER FILE IS DATED 07 AUGUST 2001
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FILE COVERS 1973 TO 1 Nov 2001 (20011101/ED)

This file contains CAS Registry Numbers for easy and accurate substance identification.

=> s 991412046/rn

'RN' IS NOT A VALID FIELD CODE

L1 0 991412046/RN

=> s 991412046/an

L2 0 991412046/AN

=> s Mak/au

L3 0 MAK/AU

=> s clinical experience and ketogenic diet

87824 CLINICAL

2 CLINICALS

87825 CLINICAL

(CLINICAL OR CLINICALS)

31330 EXPERIENCE

12213 EXPERIENCES

41858 EXPERIENCE

(EXPERIENCE OR EXPERIENCES)

457 CLINICAL EXPERIENCE

(CLINICAL (W) EXPERIENCE)

218 KETOGENIC

132210 DIET

84944 DIETS

164316 DIET

(DIET OR DIETS)

80 KETOGENIC DIET

(KETOGENIC (W) DIET)

L4 1 CLINICAL EXPERIENCE AND KETOGENIC DIET

## => d 14

L4 ANSWER 1 OF 1 CABA COPYRIGHT 2001 CABI

AN 1999:136034 CABA

DN 991412046

TI Clinical experience of ketogenic

diet on children with refractory epilepsy

AU Mak SukChun; Chi ChingShiang; Wan ChuJen; Mak, S. C.; Chi, C. S.; Wan, C. J.

CS Department of Pediatrics, Taichung Veterans General Hospital, 160, Chung Kang Road, Sec. 3, Taichung, Taiwan.

SO Acta Paediatrica Sinica, (1999) Vol. 40, No. 2, pp. 97-100. 11 ref. ISSN: 0001-6578

DT Journal

LA English

L4 ANSWER 1 OF 1 CABA COPYRIGHT 2001 CABI

AB 13 children with refractory epilepsy received a **ketogenic diet** (medium chain triglyceride oil diet) as an alternative
therapy since September 1997. Their seizure patterns included (1)
generalized tonic-clonic seizures, (2) myoclonic seizures, (3)
generalized

tonic plus atonic seizures, (4) complex partial seizures, (5) generalized clonic plus atonic plus myoclonic seizures, (6) head nodding plus myoclonic plus gelastic seizures, and (7) generalized tonic-clonic plus myoclonic plus atonic seizures. Clinical observation 1 month after the diet revealed that 53.8% of the patients had a >75% reduction in seizure frequency and 76.9% of the patients had a >50% reduction in seizure frequency. Six patients had some degrees of improvement in cognitive function and/or school performances. The most common side effects were body weight loss (n=6) and diarrhoea (n=5). Others included bad temper (n=1), abdominal cramps (n=2), nausea (n=2), bad body smell (n=1), and renal stones (n=1). Even after discontinuation of the diet, 61.5% of patients still had a >50% reduction in seizure frequency. It is concluded that the **ketogenic diet** deserves a trial in children with refractory epilepsy.

=> file medline
COST IN U.S. DOLLARS

SINCE FILE TOTAL
ENTRY SESSION
3.08 3.23

FULL ESTIMATED COST

FILE 'MEDLINE' ENTERED AT 18:21:07 ON 15 NOV 2001

FILE LAST UPDATED: 15 NOV 2001 (20011115/UP). FILE COVERS 1958 TO DATE.

On April 22, 2001, MEDLINE was reloaded. See HELP RLOAD for details.

MEDLINE now contains IN-PROCESS records. See HELP CONTENT for details.

MEDLINE is now updated 4 times per week. A new current-awareness alert frequency (EVERYUPDATE) is available. See HELP UPDATE for more information.

MEDLINE thesauri in the /CN, /CT, and /MN fields incorporate the MeSH 2001 vocabulary. Enter HELP THESAURUS for details.

The OLDMEDLINE file segment now contains data from 1958 through 1965. Enter HELP CONTENT for details.

Left, right, and simultaneous left and right truncation are available in the Basic Index. See HELP SFIELDS for details.

THIS FILE CONTAINS CAS REGISTRY NUMBERS FOR EASY AND ACCURATE SUBSTANCE IDENTIFICATION.

=> s 96063810/an

L5 1 96063810/AN

=> d

L5 ANSWER 1 OF 1 MEDLINE

AN 96063810 MEDLINE

DN 96063810 PubMed ID: 8534410

1

TI Acetyl-L-carnitine in Alzheimer disease: a short-term study on CSF

neurotransmitters and neuropeptides.

AU Bruno G; Scaccianoce S; Bonamini M; Patacchioli F R; Cesarino F; Grassini P; Sorrentino E; Angelucci L; Lenzi G L

١.

- CS Dipartimento di Scienze Neurologiche, Universita di Roma La Sapienza, Italy.
- SO ALZHEIMER DISEASE AND ASSOCIATED DISORDERS, (1995 Fall) 9 (3) 128-31. Journal code: ALZ; 8704771. ISSN: 0893-0341.
- CY United States
- DT Journal; Article; (JOURNAL ARTICLE)
- LA English
- FS Priority Journals
- EM 199602
- ED Entered STN: 19960221

Last Updated on STN: 19980206 Entered Medline: 19960207

## => d ab

### L5 ANSWER 1 OF 1 MEDLINE

AB Acetyl-L-carnitine (ALCAR) is a drug currently under investigation for Alzheimer disease (AD) therapy. ALCAR seems to exert a number of central nervous system (CNS)-related effects, even though a clear pharmacological action that could explain clinical results in AD has not been identified yet. The aim of this study was to determine cerebrospinal fluid (CSF) and plasma biological correlates of ALCAR effects in AD after a short-term, high-dose, intravenous, open treatment. Results show that ALCAR CSF

#### levels

achieved under treatment were significantly higher than the ones at baseline, reflecting a good penetration through the blood-brain barrier and thus a direct CNS challenge. ALCAR treatment produced no apparent change on CSF classic neurotransmitters and their metabolite levels (homovanillic acid, 5-hydroxyindoleacetic acid, MHPG, dopamine, choline). Among CSF peptides, while corticotropin-releasing hormone and adrenocorticotropic hormone remained unchanged, beta-endorphins significantly decreased after treatment; plasma cortisol levels matched this reduction. Since both CSF beta-endorphins and plasma cortisol decreased, one possible explanation is that ALCAR reduced the

## AD-dependent

hypothalamic-pituitary-adrenocortical (HPA) axis hyperactivity. At present, no clear explanation can be proposed for the specific mechanism of this action.

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LOGINID: ssspta1617mxb

PASSWORD:

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COST IN U.S. DOLLARS

ENTRY SESSION

SINCE FILE

FULL ESTIMATED COST

1.10 4.33

TOTAL

=> file embase biosis medline caplus uspatfull

COST IN U.S. DOLLARS

SINCE FILE TOTAL ENTRY SESSION 1.70 4.93

FULL ESTIMATED COST

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=> s ketogenic diet and alzheimer L64 KETOGENIC DIET AND ALZHEIMER

=> dup rem 16 PROCESSING COMPLETED FOR L6 1.7

4 DUP REM L6 (0 DUPLICATES REMOVED)

=> d 17 1-4 ab bib kwic

L7 ANSWER 1 OF 4 USPATFULL

AB Compositions comprising ketone bodies and/or their metabolic precursors are provided that are suitable for administration to humans and animals and which have the properties of, inter alia, (i) increasing cardiac efficiency, particularly efficiency in use of glucose, (ii) for providing energy source, particularly in diabetes and insulin resistant states and (iii) treating disorders caused by damage to brain cells, particularly by retarding or preventing brain damage in memory associated brain areas such as found in Alzheimer's and similar conditions.

These compositions may be taken as nutritional aids, for example for athletes, or for the treatment of medical conditions, particularly those

associated with poor cardiac efficiency, insulin resistance and neuronal

damage. The invention further provides methods of treatment and novel esters and polymers for inclusion in the compositions of the invention.

```
2001:205943 USPATFULL
ΑN
ΤI
       Therapeutic compositions
       Veech, Richard L., Rockville, MD, United States
ΙN
       BTG International Limited (U.S. corporation)
PA
       US 2001041736
ΡI
                          Α1
                                20011115
       US 2001-843694
ΑI
                          Α1
                                20010430 (9)
       Continuation of Ser. No. US 1999-397100, filed on 16 Sep 1999, PENDING Continuation of Ser. No. WO 1998-US5072, filed on 17 Mar 1998, UNKNOWN
RLI
       US 1997-40858
PRAI
                            19970317 (60)
DT
       Utility
FS
       APPLICATION
       Nixon & Vanderhye P.C., 8th Floor, 1100 N. Glebe Rd., Arlington, VA,
LREP
       22201
CLMN
       Number of Claims: 31
ECL
       Exemplary Claim: 1
DRWN
       2 Drawing Page(s)
LN.CNT 1889
AB
             . damage to brain cells, particularly by retarding or preventing
       brain damage in memory associated brain areas such as found in
       Alzheimer's and similar conditions.
SUMM
       . . . damage to brain cells, particularly by retarding or preventing
       brain damage in memory associated brain areas such as found in
       Alzheimer's and similar conditions. These compositions may be
       taken as nutritional aids, for example for athletes, or for the
       treatment of.
       [0011] Alzheimer's disease is a genetically heterogeneous
SUMM
       group of progressively fatal neurological diseases characterized
       pathologically by accumulation of amyloid plaques in brain and
       clinically by impairment of recent memory leading to dementia and
death.
       In addition to the cases of Alzheimer's disease linked to
       genetic causes, sporadic cases, without an apparent family history of
       the disease, also occur. For example pathological changes
characteristic
       of Alzheimer's disease occur after head trauma (73) or after
       inflammatory diseases stimulating production of the cytokine
       interleukin-1 (97).
SUMM
       [0013] The diagnosis of Alzheimer's disease is made clinically
       by this impairment in recent memory, associated with lesions in the
       hippocampal portion of the temporal.
       . . . is not necessarily a clear, bright line between the
SUMM
       pathological brain changes and the memory deficits which occur
       prematurely in Alzheimer's disease and the pathological
       changes in brain anatomy and memory function which are found in the
       "normal" aging population. Rather. . . decreased glucose tolerance
       signifying an inability to metabolize glucose. In such situations,
       treatments aimed at rectifying the pathophysiological processes of
       Alzheimer's disease, would be expected to be applicable to the
       correction of the metabolic effects associated with normal aging.
SUMM
       [0015] While Alzheimer's disease of the familial or the
       sporadic type is the major dementia found in the aging population,
other
       types of. . . of Lewy body type, dementia of Parkinsonism with
       frontal atrophy, progressive supranuclear palsy and corticobasal
       degeneration and Downs syndrome associated Alzheimers'. Plaque
       formation is also seen in the spongiform encephalopathies such as CJD,
       scrapie and BSE. The present invention is directed.
SUMM
       [0016] Many of these aforesaid apparently unrelated conditions have the
       hyperphosphorylated tau proteins found in Alzheimer's disease
       (69), opening up the possibility that the same kinase which
```

phosphorylated tau would also phosphorylate the PDH complex producing a similar deficiency in mitochondrial energy production and acetyl choline

synthesis found in Alzheimer's disease but involving other brain regions. The present inventor has determined that in this respect treatments applicable to Alzheimer's disease might be applied to these diseases as well. In addition, the inventor has determined

that

such treatment will also. . . [0017] At present there is no effective treatment for **Alzheimer** SUMM s disease. Research efforts are focused on defining its genetic cause but to date there has been no successful gene therapy. Genetic studies have linked Alzheimer's disease with Mongolism and in its early onset form to locus on chromosome 21 causing accumulation of amyloid precursor protein. . . transmembrane glycoprotein existing

in

8 isoforms. Numerous fragments of this protein are derived by proteolysis and the plaques characteristic of Alzheimer's disease have been shown to contain accumulation of the oligomer of .beta. amyloid protein (A.beta..sub.1-42). An early onset autosomally dominant form of Alzheimer's disease has also been related to a presenilin 1 locus on chromosome 14.

[0018] A late onset form of Alzheimer's disease is associated SUMM with the type 4 allele of apolipoprotein E (69,98) on chromosome 19, although other workers suggest that. . . amounts of amyloid

protein over 18 months of age showed hippocampal degeneration with many of the pathological characteristics of Alzheimer's disease (90).

SUMM [0019] The current status of knowledge on the defective genes and gene products in Alzheimer's disease has recently been summarized (Table 1 of ref. 96).

Chromo-

some Gene Defect Age of Onset A.beta. Phenotype

21 .beta.APP.

.[0020] It is clear from the above table that the common phenotype SUMM associated with the genetic forms of Alzheimer's disease is the accumulation of the amyloid peptide A.beta..sub.1-42 (96). It is this A.beta..sub.1-42 which inactivates PDH thus impairing mitochondrial. . . tangles comprised of hyperphosphorylated tau protein, and decreased brain acetyl choline levels, cell death is the fourth pathological characteristic of Alzheimer's disease. These pathological characteristics can be related, at least in part, to excess A.beta..sub.1-42 and its inhibition of PDH.

SUMM to hippocampus in the anterior portion of the limbic system of brain. However the progress in the molecular biology of Alzheimer's disease has caused the search for new therapies to concentrate upon four major areas (96): (i) protease inhibitors that partially. .

SUMM . . . when translocated into cytoplasm, a source of cytoplasmic acetyl CoA required to remedy the deficiency of acetyl choline characteristic of Alzheimer's brains.

SUMM [0024] There has been long experience with ketogenic diets in children treated for epilepsy. Such diets are however unsuitable for use in adults due to adverse efects on the.

SUMM . . . IIoshi and collaborators (77, 78) strongly suggests that a part

```
of the amyloid protein whose accumulation is the hallmark of
                 Alzheimer's disease, A.beta..sub.1-42, acts as a mitochondrial
                 histidine protein kinase which phosphorylates and inactivates the
                 pyruvate dehydrogenase multienzyme complex. The PDH.
                  . . . a number of forms of injury, and the death of these cells is
SUMM
                 the hallmark both clinically and pathologically of Alzheimer's
                disease.
                 [0034] It is the inventors hypothesis that in Alzheimer's
SUMM
                 disease, where there is a block at PDH which prevents the normal energy
                production from glucose, if one can provide. .
SUMM
                 [0045] The ketogenic diet, comprised mainly of
                 lipid, has been used since 1921 for the treatment of epilepsy in
                 children, particularly myoclonic and akinetic. .
SUMM
                 [0046] An example of a traditional 1500/day calorie ketogenic
                 diet recommended by the Marriott Corp. Health Care Services,
                 Pediatric Diet Manual, Revised August 1987 as suitable for a 4-6 year.
SUMM
                                . achieved are not be subject to variation caused by
noncompliant
                 ingestion of carbohydrate, as is the case with the present
                ketogenic diet. Rather, they would simply be an
                 additive to the normal diet, given in sufficient amounts to produce a
                 sustained blood. . . case of resistant childhood epilepsy, blood
                 levels of 2 mM are currently thought to be sufficient. In the case of
                Alzheimer's disease, attempts could be made to keep levels at
                7.5 mM achieved in the fasting man studies, in an effort to provide
                alternative energy and acetyl CoA supplies to brain tissue in
                Alzheimer's patients where PDH capacity is impaired because of
                excess amounts of A.beta..sub.1-42 amyloid peptide (77, 78).
                 . . . range of use in a greater variety of patients, including: type
SUMM
                II diabetes to prevent hypoglycemic seizures and coma, in
                Alzheimer's disease and other neurodegenerative states to
                prevent death of nerve cells eg. hippocampal cells, and in refractory
                epilepsy due to.
                 . . heart and brain tissue, but not liver. Hence the fatty liver,
SUMM
                which may be an untoward side effect of the ketogenic
                diet, is avoided. Thirdly, the ability to include carbohydrate
                in the dietary formulations increases the chance of compliance and
opens
                up practical therapeutic approaches to type II diabetics where insulin
                is high, making the known ketogenic diet unworkable.
                 . . to 7.5 mM level and above, particularly when attempting to
SUMM
                arrest the death of brain cells in diseases such as Alzheimer
                 's. While dead cells cannot be restored, arrest of further
deterioration
                and at least some restoration of function is to be.
                 . . retarding or preventing nerve cell damage or death related % \left( 1\right) =\left( 1\right) \left( 1\right) +\left( 1\right) \left( 1\right) \left( 1\right) +\left( 1\right) \left( 1\right) \left(
SUMM
                disorders, particularly neurodegenerative disorders such as memory
                associated disorders such as Alzheimer's, seizure and related
                states such as encepalophies such as CJD and BSE.
                [0091] Where the therapy is aimed at seizure related disorders, such as
SUMM
                refractory epilepsy as is treated by the ketogenic
                diet, therapy is improved by use of ketone bodies, their
                polymers or esters or precursors such as butandiol compounds, due to.
SUMM
                       . . such as those related to neurotoxic conditions such as
presence
```

of amyloid protein, eg. a memory associated disorder such as

Alzheimer's disease, or epileptic seizures, comprising

administering to that person least one at least one of a materials for [0113] The amount of ketone bodies used in treatment of SUMM neurodegeneration such as Alzheimer's and Parkinsonism will preferably elevate blood levels to 0.5 mM to 20 mM, eg 2 mM to 7.5 mM [0114] It will be realised that treatment for neurodegenerative SUMM diseases such as Alzheimer's will most effectively be given soon after identifying patient's with a predisposition to develop the disease. Thus treatment for Alzheimers' most effectively follows a positive test result for one or more conditions selected from the group (i) mutations in the. . . the presentlin gene on chromosome 14, (iii) presence of isoforms of apolipoprotein E. Other tests shown to be indicative of Alzheimer's will of course be applicable. SUMM . . . twelvth aspects of the invention comprising one of (i) total fasting of the individual and (ii) feeding the individual a ketogenic diet eg. of 60-80% lipid with carbohydrate content 20% or less by weight. [0118] In all these treatments other than the ketogenic SUMM diet there is the improvement that a method of avoiding drop in blood ketones which accompanies the ingestion of excess carbohydrate. DETD [0130] TABLE 2 Sample 1500 calorie ketogenic diet using ketone bodies, their esters or polymers. The ketones were assumed to contain 6 kcal/g, fats 9 kcal/g, carbohydrate and protein 4. . . DETD [0202] 56. Amari, A., N. C. Grace, W. W. Fisher. Achieving and maintaining compliance with the ketogenic diet. J Appl Behav Anal 28: 341-342, 1995. [0207] 61. Brion, J. P. The neurobiology of Alzheimer's DETD disease. Acta Clin Belg 51: 80-90 1996. . . C., F. Crawford, H. Houlden, A. Warren, D. Hughes, L. Fidani, DETD A. Goate, M. Rossor, P. Roques, J. Hardy Early-onset Alzheimer 's disease caused by mutations at codon 717 of the beta-amyloid precursor protein gene. Nature 353: 844-846, 1991. DETD . . D. Roses, J. L. Haines, M. A. Pericak-Vance. Gene dose of apolipoprotein E type 4 allele and the risk of Alzheimer's disease in late onset families (see comments), Science 261: 921-923, DETD . . . K. Warrington, P. A. Freeborough, P. Hartikainen, A. M. Kennedy, J. M. Stevens, M. N. Rossor. Presymptomatic hippocampal atrophy in Alzheimer's disease. A longitudinal MRI study. Brain 119: 2001-2007, 1996 DETD . . . Giuffra, A. Haynes, N. Irving, L. James. Segregation of a missense mutation in the amyloid precursor protein gene with familial

66:96-102,1996.

DETD . . . D. Adams, R. T. Cline, C. A. Phillips, A. Goate. Complete analysis of the presentlin 1 gene in early onset Alzheimer's

Alzheimer's disease. Acta Neurochir Suppl (Wien).

Alzheimer's disease (see comments). Nature 349: 704-706, 1991.
. . . McKenzie, G. W. Roberts, W. S. Griffin. Altered beta-APP

metabolism after head injury and its relationship to the aetiology of

DETD

disease. Neuroreport. 7: 801-805, 1996.

DETD [0231] Effect of low-carbohydrate-**ketogenic diet** on metabolic and hormonal responses to graded exercise in men. J Physiol Pharmacol 47: 361-371, 1996.

DETD [0236] 88. Nebeling, L. C., E. Lerner. Implementing a **ketogenic diet** based on medium-chain triglyceride oil in pediatric patents with cancer. J Am Diet Assoc 95: 693-697, 1995.

DETD . [0237] 89. Nebeling, L. C., F. Miraldi, S. B. Shurin, E. Lerner. Effects

of a **ketogenic diet** on tumor metabolism and nutritional status in pediatric oncology patents: two case reports. J Am

Coll Nutr 14: 202-208, 1995.

DETD [0240] 92. Paradis, E., H. Douillard, M. Koutroumanis, C. Goodyer, A. LeBlanc. Amyloid beta peptide of **Alzheimer'**s disease downregulates Bcl-2 and upregulates bax expression in human neurons. J Neurosci 16:7533-7539, 1996.

DETD [0242] 94. Rossor, M. N. Catastrophe, chaos and **Alzheimer'**s disease. The FE Williams Lecture. J R Coll Physicians Lond 29: 412-418, 1995.

DETD [0244] 96. Selkoe, D. J. **Alzheimer'**s disease: genotypes, phenotypes, and treatments. Science 275: 630-631, 1997.

DETD . . . Griffin. In vivo and in vitro evidence supporting a role for the inflammatory cytokine interleukin-1 as a driving force in **Alzheimer** pathogenesis. Neurobiol Aging 17: 761-766, 1996.

DETD [0246] 98. Strittmatter, W. J., A. D. Roses. Apolipoprotein E and Alzheimer disease. Proc Natl Acad Sci U.S.A. 92: 4725-4727, 1995.

DETD . . . Lendon, G. Prihar, J. C. Morris, J. Hardy, A. Goate. Polymorphism in AACT gene may lower age of onset of **Alzheimer** 's disease. Neuroreport. 7: 534-536, 1996.

DETD [0256] 108. Wang, J. Z., I. Grundke-Iqbal, K. Iqbal. Restoration of biological activity of **alzheimer** abnormally phosphorylated tau by dephosphoryulation with protein phosphatase-2A, -2B and -1. Brain Res

Mol Brain Res 38: 200-208, 1996.

# L7 ANSWER 2 OF 4 USPATFULL

AB Compositions comprising ketone bodies and/or their metabolic precursors are provided that are suitable for administration to humans and animals and which have the properties of, inter alia, (i) increasing cardiac efficiency, particularly efficiency in use of glucose, (ii) for providing energy source, particularly in diabetes and insulin resistant states and (iii) treating disorders caused by damage to brain cells, particularly by retarding or preventing brain damage in memory associated brain areas such as found in Alzheimer's and similar conditions.

These compositions may be taken as nutritional aids, for example for athletes, or for the treatment of medical conditions, particularly those

associated with poor cardiac efficiency, insulin resistance and neuronal

damage. The invention further provides methods of treatment and novel esters and polymers for inclusion in the compositions of the invention.

AN 2001:134247 USPATFULL

TI Therapeutic compositions (II)

IN Veech, Richard Lewis, Rockville, MD, United States

PI US 2001014696 A1 20010816

AI US 2001-799124 A1 20010306 (9)

```
RLI
       Continuation of Ser. No. WO 1999-US21015, filed on 15 Sep 1999, UNKNOWN
PRAI
       US 1998-100371
                          19980915 (60)
DT
       Utility
FS
       APPLICATION
LREP
       Nixon & Vanderhye, Eighth Floor, 1100 North Glebe Road, Arlington, VA,
       22201-4714
       Number of Claims: 18
CLMN
       Exemplary Claim: 1
ECL
       1 Drawing Page(s)
DRWN
LN.CNT 1376
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
AB
       . . damage to brain cells, particularly by retarding or preventing
       brain damage in memory associated brain areas such as found in
       Alzheimer's and similar conditions.
       . . . caused by damage to neuronal cells, e.g. CNS cells,
SUMM
       particularly by retarding or preventing brain damage such as found in
       Alzheimer's and Parkinsonism and similar diseases and
       conditions.
SUMM
       . . . number of disease processes involve damage by free radicals
       among which are the neurological diseases: Parkinson's disease,
       amyotrophic lateral sclerosis, Alzheimer's disease and
       cerebral ischemia. In addition excessive free radical damage has been
       implicated as playing a role in coronary reperfusion,. . .
       . . . The present invention's improved efficacy in raising levels,
SUMM
       particularly blood levels, of ketone bodies provides therapeutic
effects
       of the classical ketogenic diet, which is not itself
       found to be toxic in children, with none of the side effects that
render
       that unused. .
SUMM
       [0031] Where the therapy is aimed at seizure related disorders, such as
       refractory epilepsy as is treated by the ketogenic
       diet, therapy is improved by use of cyclic oligomers, due to the
       reduction or elimination of both high lipid and carbohydrate. .
SUMM
       . . . those related to neurotoxic conditions such as presence of
       amyloid protein, e.g. a memory or movement associated disorder such as
       Alzheimer's or Parkinson's diseases, or epileptic seizures,
       comprising administering to that person at least one of the materials
       for use in.
                   . .
SUMM
               Hoshi and collaborators (77, 78) strongly suggests that a part
       of the amyloid protein whose accumulation is the hallmark of
       Alzheimer's disease, A.beta..sub.1-42, acts to stimulate
       mitochondrial histidine protein kinase which phosphorylates and
       inactivates the pyruvate dehydrogenase multienzyme complex. The PDH.
SUMM
       [0038] In the copending application WO 98/41201, `Therapeutic
       compositions`, it is the inventor's hypothesis that in Alzheimer
       's disease, where there is a block at PDH which prevents the normal
       energy production from glucose, if one can provide.
SUMM
       [0045] The ketogenic diet, comprised mainly of
       lipid, has been used since 1921 for the treatment of epilepsy in
       children, particularly myoclonic and akinetic. .
       . . . ketones achieved are not subject to variation caused by
SUMM
       noncompliant ingestion of carbohydrate, as is the case with the present
       ketogenic diet. Rather, they would simply be an
       additive to the normal diet, given in sufficient amounts to produce a
       sustained blood. . . case of resistant childhood epilepsy, blood
       levels of 2 mM are currently thought to be sufficient. In the case of
       Alzheimer's disease, attempts could even be made to keep levels
```

at 7.5 mM or more, as achieved in the fasting man studies, in an effort

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to provide alternative energy and acetyl CoA supplies to brain tissue
in
       Alzheimer's patients where PDH capacity is impaired because of
       excess amounts of A.beta..sub.1-42 amyloid peptide (77, 78).
SUMM
       . . . a greater variety of patients, including but not limited to:
       type II diabetes to prevent hypoglycemic seizures and coma, in
       Alzheimer's disease and other neurodegenerative states to
       prevent death of nerve cells e.g. hippocampal cells, and in refractory
       epilepsy due to.
SUMM
       . . heart and brain tissue, but not liver. Hence the fatty liver,
       which may be an untoward side effect of the ketogenic
       diet, is avoided. Thirdly, the ability to include carbohydrate
       in the dietary formulations increases the chance of compliance and
opens
       up practical therapeutic approaches to type II diabetics where insulin
       is high, making the known ketogenic diet unworkable.
       . . . to 7.5 mM level and above, particularly when attempting to arrest the death of brain cells in diseases such as {\bf Alzheimer}
SUMM
       's and Parkinsonism. While dead cells cannot be restored, arrest of
       further deterioration and at least some restoration of function is.
SUMM
       [0070] The total amount of ketone bodies used in treatment of
       neurodegeneration such as Alzheimer's and Parkinsonism will
       preferably elevate blood levels of ketone bodies by from 0.5 mM to 20
       mM. The present inventor.
SUMM
       [0071] It will be realised that treatment for neurodegenerative
diseases
       such as Alzheimer's or Parkinsonism will most effectively be
       given soon after identifying patient's with a predisposition to develop
       the disease. Thus treatment for Alzheimers' most effectively
       follows a positive test result for one or more conditions selected from
       the group (i) mutations in the. . . the presenilin gene on
chromosome
       14, (iii) presence of isoforms of apolipoprotein E. Other tests shown
to
       be indicative of Alzheimer's will of course be applicable.
       [0090]
DETD
TABLE 2
Sample 1500 calorie ketogenic diet using cyclic oligomer
       (I) of
invention. The cyclic oligomer is assumed to contain 6 kcal/g fats,
9 kcal/g carbohydrate and 4 kcal/g. . .
DETD
       [0153] 56. Amari, A., N. C. Grace, W. W. Fisher. Achieving and
       maintaining compliance with the ketogenic diet. J
       Appl Behav Anal 28: 341-342, 1995.
DETD
       [0158] 61. Brion, J. P. The neurobiology of Alzheimers
       disease. Acta Clin Belg 51: 80-90 1996.
DETD
       . . . C., F. Crawford, H. Houlden, A. Warren, D. Hughes, L. Fidani,
       A. Goate, M. Rossor, P. Roques, J. Hardy Early-onset Alzheimer
       's disease caused by mutations at codon 717 of the beta-amyloid
       precursor protein gene. Nature 353: 844-846, 1991.
DETD
       . . D. Roses, J. L. Haines, M. A. Pericak-Vance. Gene dose of
       apolipoprotein E type 4 allele and the risk of Alzheimer's
       disease in late onset families (see comments), Science 261: 921-923,
       1993.
DETD
       . . K. Warrington, P. A. Freeborough, P. Hartikainen, A. M.
       Kennedy, J. M. Stevens, M. N. Rossor. Presymptomatic hippocampal
```

atrophy

- in **Alzheimer'**s disease. A longitudinal MRI study. Brain 119: 2001-2007, 1996
- DETD . . . Giuffia, A. Haynes, N. Irving, L. James. Segregation of a missense mutation in the amyloid precursor protein gene with familial **Alzheimer'**s disease (see comments). Nature 349: 704-706, 1991.
- DETD . . . McKenzie, G. W. Roberts, W. S. Griffin. Altered beta-APP metabolism after head injury and its relationship to the aetiology of **Alzheimer'**s disease. Acta Neurochir Suppl (Wien). 66: 96-102, 1996.
- DETD . . . D. Adams, R. T. Cline, C. A. Phillips, A. Goate. Complete analysis of the presentlin 1 gene in early onset **Alzheimer'**s disease. Neuroreport. 7: 801-805, 1996.
- DETD [0180] 83. Langfort, J., W. Pilis, R. Zarzeczny, K. Nazar, H. Kaciuba-Uscilko. Effect of low-carbohydrate-ketogenic diet on metabolic and hormonal responses to graded exercise in men. J Physiol Pharmacol 47: 361-371, 1996.
- DETD [0185] 88. Nebeling, L. C., E. Lerner. Implementing a **ketogenic diet** based on medium-chain triglyceride oil in pediatric patents with cancer. J Am Diet Assoc 95: 693-697, 1995.
- DETD [0186] 89. Nebeling, L. C., F. Miraldi, S. B. Shurin, E. Lerner. Effects
- of a **ketogenic diet** on tumor metabolism and nutritional status in pediatric oncology patents: two case reports. J
- Coll Nutr 14: 202-208, 1995.
- DETD [0189] 92. Paradis, E., H. Douillard, M. Koutroumanis, C. Goodyer, A. LeBlanc. Amyloid beta peptide of **Alzheimer'**s disease downregulates Bcl-2 and upregulates bax expression in human neurons. J Neurosci 16:7533-7539, 1996.
- DETD [0191] 94. Rossor, M. N. Catastrophe, chaos and **Alzheimer'**s disease. The FE Williams Lecture. J R Coll Physicians Lond 29: 412-418, 1995.
- DETD [0193] 96. Selkoe, D. J. **Alzheimer'**s disease: genotypes, phenotypes, and treatments. Science 275: 630-631, 1997.
- DETD . . . Griffin. In vivo and in vitro evidence supporting a role for the inflammatory cytokine interleukin-1 as a driving force in **Alzheimer** pathogenesis. Neurobiol Aging 17: 761-766, 1996.
- DETD [0195] 98. Strittmatter, W. J., A. D. Roses. Apolipoprotein E and Alzheimer disease. Proc Natl Acad Sci U.S.A. 92: 4725-4727,
- DETD . . . Lendon, G. Prihar, J. C. Morris, J. Hardy, A. Goate. Polymorphism in AACT gene may lower age of onset of **Alzheimer** 's disease. Neuroreport. 7: 534-536, 1996.
- DETD [0205] 108. Wang, J. Z., I. Grundke-Iqbal, K. Iqbal. Restoration of biological activity of **alzheimer** abnormally phosphorylated tau by dephosphoryulation with protein phosphatase-2A, -2B and -1. Brain Res
- Mol Brain Res 38: 200-208, 1996.
- CLM What is claimed is:
- . . . in claim 7 or 8 wherein the method is performed on a patient needing therapy for one or more of **Alzheimer's**, Parkinsonism, Amylotrophic lateral sclerosis, Epilepsy, Free radical disease, Heart failure, Type II diabetes, deficiency or blockage of pyruvate dehydrogenase, inability. . .
- L7 ANSWER 3 OF 4 USPATFULL
- AB Compositions comprising ketone bodies and/or their metabolic precursors are provided that are suitable for administration to humans and animals and which have the properties of, inter alia, (i) increasing cardiac efficiency, particularly efficiency in use of glucose, (ii) for

providing energy source, particularly in diabetes and insulin resistant states and (iii) treating disorders caused by damage to brain cells, particularly by retarding or preventing brain damage in memory associated brain areas such as found in **Alzheimer's** and similar conditions.

These compositions may be taken as nutritional aids, for example for athletes, or for the treatment of medical conditions, particularly those associated with poor cardiac efficiency, insulin resistance and neuronal damage. The invention further provides methods of treatment and novel esters and polymers for inclusion in the compositions of the invention. 2001:202234 USPATFULL ΑN ΤI Therapeutic compositions ΙN Veech, Richard Lewis, Rockville, MD, United States BTG International Limited, London, United Kingdom (non-U.S. PΑ corporation) US 6316038 20011113 PIВ1 <del>US 1999-</del>397109 ΑI 19990916 (9) Continuation of Ser. No. WO 1998-GB5072, filed on 17 Mar 1998 RLI PRAI US 1997-40858 19970317 (60) DT Utility FS GRANTED Primary Examiner: Reamer, James H. EXNAM Nixon & Vanderhye LREP Number of Claims: 2 CLMN ECL Exemplary Claim: 1 DRWN 1 Drawing Figure(s); 1 Drawing Page(s) LN.CNT 1821 AΒ . damage to brain cells, particularly by retarding or preventing brain damage in memory associated brain areas such as found in Alzheimer's and similar conditions. SUMM . . . damage to brain cells, particularly by retarding or preventing brain damage in memory associated brain areas such as found in Alzheimer's and similar conditions. These compositions may be taken as nutritional aids, for example for athletes, or for the treatment of. SUMM Alzheimer's disease is a genetically heterogeneous group of progressively fatal neurological diseases characterized pathologically by accumulation of amyloid plaques in brain and clinically by impairment of recent memory leading to dementia and death. In addition to the cases of Alzheimer's disease linked to genetic causes, sporadic cases, without an apparent family history of the disease, also occur. For example pathological changes characteristic of Alzheimer's disease occur after head trauma (73) or after inflammatory diseases stimulating production of the cytokine interleukin-1 (97). SUMM The diagnosis of Alzheimer's disease is made clinically by this impairment in recent memory, associated with lesions in the hippocampal portion of the temporal. SUMM . is not necessarily a clear, bright line between the

pathological brain changes and the memory deficits which occur prematurely in **Alzheimer'**s disease and the pathological changes in brain anatomy and memory function which are found in the "normal" aging population. Rather. . . decreased glucose tolerance signifying an inability to metabolize glucose. In such situations, treatments aimed at rectifying the pathophysiological processes of **Alzheimer'**s disease, would be expected to be applicable to the

correction of the metabolic effects associated with normal aging. While Alzheimer's disease of the familial or the sporadic type SUMM is the major dementia found in the aging population, other types of. of Lewy body type, dementia of Parkinsonism with frontal atrophy, progressive supranuclear palsy and corticobasal degeneration and Downs syndrome associated Alzheimers'. Plaque formation is also seen in the spongiform encephalopathies such as CJD, scrapie and BSE. The present invention is directed. Many of these aforesaid apparently unrelated conditions have the SUMM hyperphosphorylated tau proteins found in Alzheimer's disease (69), opening up the possibility that the same kinase which phosphorylated tau would also phosphorylate the PDH complex producing a similar deficiency in mitochondrial energy production and acetyl choline synthesis found in Alzheimer's disease but involving other brain regions. The present inventor has determined that in this respect treatments applicable to Alzheimer's disease might be applied to these diseases as well. In addition, the inventor has determined that such treatment will also. At present there is no effective treatment for Alzheimer's SUMM

At present there is no effective treatment for Alzheimer's disease. Research efforts are focused on defining its genetic cause but to date there has been no successful gene therapy. Genetic studies have linked Alzheimer's disease with Mongolism and in its early onset form to locus on chromosome 21 causing accumulation of amyloid precursor protein. . . transmembrane glycoprotein existing in 8 isoforms. Numerous fragments of this protein are derived by proteolysis and the plaques characteristic of Alzheimer's disease have been shown to contain accumulation of the oligomer of .beta. amyloid protein (A.beta..sub.1-42). An early onset autosomally dominant form of Alzheimer's disease has also been related to a presenilin 1 locus on chromosome 14.

SUMM A late onset form of **Alzheimer'**s disease is associated with the type 4 allele of apolipoprotein E (69,98) on chromosome 19, although

other workers suggest that. . . amounts of amyloid precursor protein over 18 months of age showed hippocampal degeneration with many of the pathological characteristics of **Alzheimer's** disease (90).

SUMM The current status of knowledge on the defective genes and gene products

in **Alzheimer'**s disease has recently been summarized (Table 1 of ref. 96).

SUMM It is clear from the above table that the common phenotype associated with the genetic forms of Alzheimer's disease is the accumulation of the amyloid peptide A.beta..sub.1-42 (96). It is this A.beta..sub.1-42 which inactivates PDH thus impairing mitochondrial. . tangles comprised of hyperphosphorylated tau protein, and decreased brain acetyl choline levels, cell death is the fourth pathological characteristic of Alzheimer's disease. These pathological characteristics can be related, at least in part, to excess A.beta..sub.1-42 and its inhibition of PDH.

SUMM . . . to hippocampus in the anterior portion of the limbic system of brain. However the progress in the molecular biology of **Alzheimer'**s disease has caused the search for new therapies to concentrate upon four major areas (96): (i) protease inhibitors that partially. . .

SUMM . . . when translocated into cytoplasm, a source of cytoplasmic acetyl CoA required to remedy the deficiency of acetyl choline characteristic of **Alzheimer'**s brains.

SUMM There has been long experience with ketogenic diets

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in children treated for epilepsy. Such diets are however unsuitable for
       use in adults due to adverse efects on the. . .
SUMM
       . . . Hoshi and collaborators (77, 78) strongly suggests that a part
       of the amyloid protein whose accumulation is the hallmark of
       Alzheimer's disease, A.beta..sub.1-42, acts as a mitochondrial
       histidine protein kinase which phosphorylates and inactivates the
       pyruvate dehydrogenase multienzyme complex. The PDH.
SUMM
       . . . a number of forms of injury, and the death of these cells is
       the hallmark both clinically and pathologically of Alzheimer's
       disease.
SUMM
       It is the inventors hypothesis that in Alzheimer's disease,
       where there is a block at PDH which prevents the normal energy
       production from glucose, if one can provide.
SUMM
       The ketogenic diet, comprised mainly of lipid, has
       been used since 1921 for the treatment of epilepsy in children,
       particularly myoclonic and akinetic.
SUMM
      An example of a traditional 1500/day calorie ketogenic
       diet recommended by the Marriott Corp. Health Care Services,
       Pediatric Diet Manual, Revised August 1987 as suitable for a 4-6 year.
SUMM
      . . . achieved are not be subject to variation caused by
noncompliant
       ingestion of carbohydrate, as is the case with the present
       ketogenic diet. Rather, they would simply be an
       additive to the normal diet, given in sufficient amounts to produce a
       sustained blood. . . case of resistant childhood epilepsy, blood
       levels of 2 mM are currently thought to be sufficient. In the case of
      Alzheimer's disease, attempts could be made to keep levels at
       7.5 mM achieved in the fasting man studies, in an effort to provide
       alternative energy and acetyl CoA supplies to brain tissue in
       Alzheimer's patients where PDH capacity is impaired because of
      excess amounts of A.beta..sub.1-42 amyloid peptide (77, 78).
SUMM
       . . . range of use in a greater variety of patients, including: type
      II diabetes to prevent hypoglycemic seizures and coma, in
      Alzheimer's disease and other neurodegenerative states to
      prevent death of nerve cells eg. hippocampal cells, and in refractory
      epilepsy due to.
SUMM
       . . heart and brain tissue, but not liver. Hence the fatty liver,
      which may be an untoward side effect of the ketogenic
      diet, is avoided. Thirdly, the ability to include carbohydrate
       in the dietary formulations increases the chance of compliance and
opens
      up practical therapeutic approaches to type II diabetics where insulin
      is high, making the known ketogenic diet unworkable.
SUMM
       . . to 7.5 mM level and above, particularly when attempting to
      arrest the death of brain cells in diseases such as Alzheimer
       's. While dead cells cannot be restored, arrest of further
deterioration
      and at least some restoration of function is to be. .
SUMM
       . . . retarding or preventing nerve cell damage or death related
      disorders, particularly neurodegenerative disorders such as memory
      associated disorders such as Alzheimer's, seizure and related
      states such as encepalophies such as CJD and BSE.
      Where the therapy is aimed at seizure related disorders, such as
SUMM
      refractory epilepsy as is treated by the ketogenic
      diet, therapy is improved by use of ketone bodies, their
      polymers or esters or precursors such as butandiol compounds, due to.
```

 $\ensuremath{\mathsf{SUMM}}$  . . such as those related to neurotoxic conditions such as presence

- of amyloid protein, eg. a memory associated disorder such as **Alzheimer'**s disease, or epileptic seizures, comprising administering to that person least one at least one of a materials for use in. . .
- SUMM The amount of ketone bodies used in treatment of neurodegeneration such as **Alzheimer'**s and Parkinsonism will preferably elevate blood levels to 0.5 mM to 20 mM, eg 2 mM to 7.5 mM as. . .
- SUMM It will be realised that treatment for neurodegenerative diseases such as **Alzheimer'**s will most effectively be given soon after identifying patient's with a predisposition to develop the disease. Thus
- treatment for **Alzheimers'** most effectively follows a positive test result for one or more conditions selected from the group (i) mutations in the. . . the presentilin gene on chromosome 14, (iii) presence of isoforms of apolipoprotein E. Other tests shown to be indicative of **Alzheimer'**s will of course be applicable.
- SUMM . . . twelvth aspects of the invention comprising one of (i) total fasting of the individual and (ii) feeding the individual a **ketogenic diet** eg. of 60-80% lipid with carbohydrate content 20% or less by weight.
- SUMM In all these treatments other than the **ketogenic diet** there is the improvement that a method of avoiding drop in blood ketones
- which accompanies the ingestion of excess carbohydrate. . .
- DETD TABLE 2
- Sample 1500 calorie **ketogenic diet** using ketone bodies, their esters or polymers. The ketones were assumed to contain
- 6 kcal/g, fats 9 kcal/g, carbohydrate and protein 4. . .

  DETD 56. Amari, A., N. C. Grace, W. W. Fisher. Achieving and maintaining compliance with the **ketogenic diet**. J Appl Behav
- Anal 28: 341-342, 1995.

  DETD 61. Brion, J. P. The neurobiology of Alzheimer's disease. Acta Clin Belg 51: 80-90 1996.
- DETD . . . C., F. Crawford, H. Houlden, A. Warren, D. Hughes, L. Fidani, A. Goate, M. Rossor, P. Roques, J. Hardy Early-onset **Alzheimer** 's disease caused by mutations at codon 717 of the beta-amyloid precursor protein gene. Nature 353: 844-846, 1991.
- DETD . . . D. Roses, J. L. Haines, M. A. Pericak-Vance. Gene dose of apolipoprotein E type 4 allele and the risk of **Alzheimer'**s disease in late onset families (see comments), Science 261: 921-923, 1993.
- DETD . . . K. Warrington, P. A. Freeborough, P. Hartikainen, A. M. Kennedy, J. M. Stevens, M. N. Rossor. Presymptomatic hippocampal atrophy
  - in **Alzheimer'**s disease. A longitudinal MRI study. Brain 119: 2001-2007, 1996.
- DETD . . . Giuffra, A. Haynes, N. Irving, L. James. Segregation of a missense mutation in the amyloid precursor protein gene with familial **Alzheimer'**s disease (see comments). Nature 349: 704-706, 1991.
- DETD . . . McKenzie, G. W. Roberts, W. S. Griffin. Altered beta-APP metabolism after head injury and its relationship to the aetiology of **Alzheimer's** disease. Acta Neurochir Suppl (Wien). 66: 96-102, 1996.
- DETD . . . D. Adams, R. T. Cline, C. A. Phillips, A. Goate. Complete analysis of the presentlin 1 gene in early onset **Alzheimer's** disease. Neuroreport. 7: 801-805, 1996.
- DETD 83. Langfort, J., W. Pilis, R. Zarzeczny, K. Nazar, H. Kaciuba-Uscilko. Effect of low-carbohydrate-ketogenic diet on metabolic and hormonal responses to graded exercise in men. J Physiol Pharmacol 47: 361-371, 1996.

- DETD 88. Nebeling, L. C., E. Lerner. Implementing a **ketogenic diet** based on medium-chain triglyceride oil in pediatric patents
  with cancer. J Am Diet Assoc 95: 693-697, 1995.
- DETD 89. Nebeling, L. C., F. Miraldi, S. B. Shurin, E. Lerner. Effects of a **ketogenic diet** on tumor metabolism and nutritional status in pediatric oncology patents: two case reports. J Am Coll Nutr 14: 202-208, 1995.
- DETD 92. Paradis, E., H. Douillard, M. Koutroumanis, C. Goodyer, A. LeBlanc. Amyloid beta peptide of **Alzheimer'**s disease downregulates Bcl-2 and upregulates bax expression in human neurons. J Neurosci 16:7533-7539, 1996.
- DETD 94. Rossor, M. N. Catastrophe, chaos and **Alzheimer'**s disease. The F E Williams Lecture. J R Coll Physicians Lond 29: 412-418, 1995.
- DETD 96. Selkoe, D. J. **Alzheimer'**s disease: genotypes, phenotypes, and treatments. Science 275: 630-631, 1997.
- DETD . . . Griffin. In vivo and in vitro evidence supporting a role for the inflammatory cytokine interleukin-1 as a driving force in **Alzheimer** pathogenesis. Neurobiol Aging 17: 761-766, 1996.
- DETD 98. Strittmatter, W. J., A. D. Roses. Apolipoprotein E and Alzheimer disease. Proc Natl Acad Sci U.S.A. 92: 4725-4727, 1995.
- DETD . . . Lendon, G. Prihar, J. C. Morris, J. Hardy, A. Goate. Polymorphism in AACT gene may lower age of onset of **Alzheimer** 's disease. Neuroreport. 7: 534-536, 1996.
- DETD 108. Wang, J. Z., I. Grundke-Iqbal, K. Iqbal. Restoration of biological activity of **alzheimer** abnormally phosphorylated tau by dephosphoryulation with protein phosphatase-2A, -2B and -1. Brain Res Mol Brain Res 38: 200-208, 1996.
- L7 ANSWER 4 OF 4 USPATFULL
- Compositions comprising ketone bodies and/or their metabolic precursors are provided that are suitable for administration to humans and animals and which have the properties of, inter alia, (i) increasing cardiac efficiency, particularly efficiency in use of glucose, (ii) for providing energy source, particularly in diabetes and insulin resistant states and (iii) treating disorders caused by damage to brain cells, particularly by retarding or preventing brain damage in memory associated brain areas such as found in Alzheimer's and similar conditions.

These compositions may be taken as nutritional aids, for example for athletes, or for the treatment of medical conditions, particularly those

associated with poor cardiac efficiency, insulin resistance and  ${\tt neuronal}$ 

damage. The invention further provides methods of treatment and novel esters and polymers for inclusion in the compositions of the invention.

AN 2001:44413 USPATFULL

TI Therapeutic compositions

IN Veech, Richard L., Rockville, MD, United States

PA BTG International Limited, London, United Kingdom (non-U.S. corporation)

PI US 6207856 B1 20010327

AI US 2000-630007 20000731 (9)

RLI Division of Ser. No. US 1999-397100, filed on 16 Sep 1999 Continuation of Ser. No. WO 1997-US9805072, filed on 17 Mar 1997

PRAI US 1997-40858 19970317 (60)

DT Utility

FS Granted

EXNAM Primary Examiner: Reamer, James H.

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LREP
       Nixon & Vanderhye
CLMN
       Number of Claims: 4
ECL
       Exemplary Claim: 1
DRWN
       2 Drawing Figure(s); 2 Drawing Page(s)
LN.CNT 1949
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
       . . damage to brain cells, particularly by retarding or preventing
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SUMM
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       taken as nutritional aids, for example for athletes, or for the
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       Alzheimer's disease is a genetically heterogeneous group of
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       by accumulation of amyloid plaques in brain and clinically by
impairment
       of recent memory leading to dementia and death. In addition to the
cases
       of Alzheimer's disease linked to genetic causes, sporadic
       cases, without an apparent family history of the disease, also occur.
       For example pathological changes characteristic of Alzheimer's
       disease occur after head trauma (73) or after inflammatory diseases
       stimulating production of the cytokine interleukin-1 (97).
SUMM
       The diagnosis of Alzheimer's disease is made clinically by
       this impairment in recent memory, associated with lesions in the
       hippocampal portion of the temporal.
SUMM
            . is not necessarily a clear, bright line between the
       pathological brain changes and the memory deficits which occur
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       changes in brain anatomy and memory function which are found in the
       "normal" aging population. Rather. . . decreased glucose tolerance
       signifying an inability to metabolize glucose. In such situations,
       treatments aimed at rectifying the pathophysiological processes of
       Alzheimer's disease, would be expected to be applicable to the
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SUMM
      While Alzheimer's disease of the familial or the sporadic type
       is the major dementia found in the aging population, other types of.
         of Lewy body type, dementia of Parkinsonism with frontal atrophy,
      progressive supranuclear palsy and corticobasal degeneration and Downs
       syndrome associated Alzheimers'. Plaque formation is also seen
       in the spongiform encephalopathies such as CJD, scrapie and BSE. The
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      Many of these aforesaid apparently unrelated conditions have the
      hyperphosphorylated tau proteins found in Alzheimer's disease
       (69), opening up the possibility that the same kinase which
       phosphorylated tau would also phosphorylate the PDH complex producing a
       similar deficiency in mitochondrial energy production and acetyl
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       treatments applicable to Alzheimer's disease might be applied
       to these diseases as well. In addition, the inventor has determined
that
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      At present there is no effective treatment for Alzheimer's
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disease. Research efforts are focused on defining its genetic cause but to date there has been no successful gene therapy. Genetic studies have

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precursor protein. . . transmembrane glycoprotein existing in 8 isoforms. Numerous fragments of this protein are derived by proteolysis and the plaques characteristic of Alzheimer's disease have been shown to contain accumulation of the oligomer of .beta. amyloid protein (A .beta..sub.1-42). An early onset autosomally dominant form of Alzheimer's disease has also been related to a presenilin 1 locus on chromosome 14. A late onset form of Alzheimer's disease is associated with SUMM the type 4 allele of apolipoprotein E (69,98) on chromosome 19, although other workers suggest that. . . amounts of amyloid precursor protein over 18 months of age showed hippocampal degeneration with many of the pathological characteristics of Alzheimer's disease (90). The current status of knowledge on the defective genes and gene SUMM products in Alzheimer's disease has recently been summarized (Table 1 of ref. 96). SUMM It is clear from the above table that the common phenotype associated with the genetic forms of Alzheimer's disease is the accumulation of the amyloid peptide A.beta..sub.1-42 (96). It is this A.beta..sub.1-42 which inactivates PDH thus impairing mitochondrial. tangles comprised of hyperphosphorylated tau protein, and decreased brain acetyl choline levels, cell death is the fourth pathological characteristic of Alzheimer's disease. These pathological characteristics can be related, at least in part, to excess A.beta..sub.1-42 and its inhibition of PDH. SUMM . . . to hippocampus in the anterior portion of the limbic system of brain. However the progress in the molecular biology of Alzheimer's disease has caused the search for new therapies to concentrate upon four major areas (96): (i) protease inhibitors that partially. SUMM . when translocated into cytoplasm, a source of cytoplasmic acetyl CoA required to remedy the deficiency of acetyl choline characteristic of Alzheimer's brains. SUMM There has been long experience with ketogenic diets in children treated for epilepsy. Such diets are however unsuitable for use in adults due to adverse effects on the. . SUMM Hoshi and collaborators (77, 78) strongly suggests that a part of the amyloid protein whose accumulation is the hallmark of Alzheimer's disease, A.beta..sub.1-42, acts as a mitochondrial histidine protein kinase which phosphorylates and inactivates the pyruvate dehydrogenase multienzyme complex. The PDH. SUMM . . . a number of forms of injury, and the death of these cells is the hallmark both clinically and pathologically of Alzheimer's disease. SUMM It is the inventors hypothesis that in Alzheimer's disease, where there is a block at PDH which prevents the normal energy production from glucose, if one can provide. SUMM The ketogenic diet, comprised mainly of lipid, has been used since 1921 for the treatment of epilepsy in children, particularly myoclonic and akinetic. . SUMM An example of a traditional 1500/day calorie ketogenic diet recommended by the Marriott Corp. Health Care Services, Pediatric Diet Manual, Revised August 1987 as suitable for a 4-6 year. achieved are not be subject to variation caused by noncompliant

ingestion of carbohydrate, as is the case with the present

onset form to locus on chromosome 21 causing accumulation of amyloid

```
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      additive to the normal diet, given in sufficient amounts to produce a
      sustained blood. . . case of resistant childhood epilepsy, blood
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SUMM
       . . . range of use in a greater variety of patients, including: type
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SUMM
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      in the dietary formulations increases the chance of compliance and
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      is high, making the known ketogenic diet unworkable.
SUMM
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       's. While dead cells cannot be restored, arrest of further
deterioration
      and at least some restoration of function is to be. .
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SUMM
      disorders, particularly neurodegenerative disorders such as memory
      associated disorders such as Alzheimer's, seizure and related
      states such as encepalophies such as CJD and BSE.
SUMM
      Where the therapy is aimed at seizure related disorders, such as
      refractory epilepsy as is treated by the ketogenic
      diet, therapy is improved by use of ketone bodies, their
      polymers or esters or precursors such as butandiol compounds, due to.
SUMM
         . . such as those related to neurotoxic conditions such as
presence
      of amyloid protein, eq. a memory associated disorder such as
      Alzheimer's disease, or epileptic seizures, comprising
      administering to that person least one at least one of a materials for
SUMM
      The amount of ketone bodies used in treatment of neurodegeneration such
      as Alzheimer's and Parkinsonism will preferably elevate blood
      levels to 0.5 mM to 20 mM, eg 2 mM to 7.5 mM as. . .
SUMM
      It will be realised that treatment for neurodegenerative diseases such
      as Alzheimer's will most effectively be given soon after
      identifying patient's with a predisposition to develop the disease.
Thus
      treatment for Alzheimers' most effectively follows a positive
      test result for one or more conditions selected from the group (i)
      mutations in the. . . the presentlin gene on chromosome 14, (iii)
      presence of isoforms of apolipoprotein E. Other tests shown to be
      indicative of Alzheimer's will of course be applicable.
SUMM
            . twelvth aspects of the invention comprising one of (i) total
      fasting of the individual and (ii) feeding the individual a
      ketogenic diet eg. of 60-80% lipid with carbohydrate
      content 20% or less by weight.
SUMM
      In all these treatments other than the ketogenic diet
      there is the improvement that a method of avoiding drop in blood
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ketones

- which accompanies the ingestion of excess carbohydrate. . .
- DETD TABLE 2
- Sample 1500 calorie **ketogenic diet** using ketone bodies, their esters or
- polymers. The ketones were assumed to contain 6 kcal/g, fats 9 kcal/g, carbohydrate and protein 4. . .
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